



# “Descente Infinie” Induction Principle and Saturation-based Theorem Provers

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Specification

Property

- Specification: algorithm, description of a system, ...
- Properties: invariants, liveness, ...



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☞ Need of formal specification and reasoning

## Formal Specifications: Algebraic Specifications

### Syntax

**F** function symbols

**P** predicate symbols

**V** variables

$\mathcal{T}(\mathbf{F}, \mathbf{V})$  terms

$\mathcal{A}(\mathbf{P}, \mathbf{F}, \mathbf{V})$  atomic formulas

$\neg, \vee, \wedge, \Rightarrow, \Leftrightarrow$

## Formal Reasoning

axioms  $Ax$ , conjecture  $\phi$

consequence relation  $\models$

$$Ax \models? \phi$$

$\models$  is an inductive consequence if  $Ax \models \phi \iff Ax \models \phi\gamma$

➡ Difficulty: check an **infinite** number of ground instances  $\phi\gamma$

$$\left. \begin{array}{l} 0 + x = x \\ s(x) + y = s(x + y) \end{array} \right\} \models? x + 0 = x, \forall x \in \mathbb{N}$$

➡ by **induction** + reasoning techniques

## Induction Orders

☞  $\leq$  on  $\mathcal{P}$  is a **partial order** if:

- reflexivity:  $\phi \leq \phi, \forall \phi \in \mathcal{P}$
- transitivity: if  $\phi \leq \psi$  and  $\psi \leq \rho$  then  $\phi \leq \rho, \forall \phi, \psi, \rho \in \mathcal{P}$
- antisymmetry: if  $\phi \leq \psi$  and  $\psi \leq \phi$  then  $\phi = \psi, \forall \phi, \psi \in \mathcal{P}$

☞  $\leq$  is a **total order** if, in addition,

- comparability (Trichotomy law):  $\phi \leq \psi$  or  $\psi \leq \phi, \forall \phi, \psi \in \mathcal{P}$

☞  $\leq$  is **Noetherian** if there is no infinite sequence  $\phi_0 > \phi_1 > \dots$



## “Descente infinie” Induction Principle [Fermat (1659)]

$\mathcal{P}$  (infinite) set of formulas with a Noetherian order

$\mathcal{P}$  has only true formulas iff  
for any counterexample from  $\mathcal{P}$  there exists a smaller one

Applications :

- implicit induction provers
- saturation-based provers

## “Descente Infinie” Provers

1. the proofs are built by successive applications of inference rules:  $E \cup \{\phi\} \vdash E \cup \Phi$
  2. the proof is finite
  3.  $\mathcal{P}$  is the set of ground instances of proof's formulas
  4. the application of an inference rule over a counterexample guarantees the existence of a smaller one in  $\mathcal{P}$
- ☞ if  $\phi$  has a counterexample, then a smallest counterexample is in the last state of the proof.

## Implicit Induction vs. Saturation-based Proofs

- implicit induction

$$Ax \models \phi \text{ if } \{\phi\} \vdash \dots \vdash \emptyset$$

- saturation-based

☞ unsatisfiability of a set of saturated formulas  $E$

$$E' \vdash \dots \vdash E$$

such that  $E$  contains a smallest counterexample easy to detect

☞ a set of axioms is built from  $E$  (model generation)

1. Methodology to build “descente infinie” provers
2. Saturation-based provers
3. Conclusions and future work

## 1.1 Contextual Cover Sets

[Stratulat, 2000]

➡ An inference rule can be applied on  $\phi$  if it does not (globally) eliminate smallest counterexamples from the proof

1.  $Ax \models \phi$ , i.e.  $\phi$  has no counterexample, or
2.  $C_{<\phi}^2 \models \phi$ , i.e. if  $\phi$  has a counterexample then  $C^2$  contains a smaller one, or
3.  $C_{\leq\phi}^1 \models \phi$ , i.e. if  $\phi$  has a counterexample then there is a smaller or equivalent counterexample.

# 1 Methodology

→  $\mathbf{C}_{\leq\phi}^1$  and  $\mathbf{C}_{<\phi}^2$  represent induction hypotheses

To sum up, the used information when transforming  $\phi$  in  $\Phi$ :

axioms + context  $\mathbf{C} : (\mathbf{C}^1, \mathbf{C}^2)$

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When  $E \cup \{\phi\} \vdash E \cup \Phi$

$\Phi$  is a contextual cover set (C.C.S.) of  $\phi$  in  $\mathbf{C}$  iff

$$Ax \cup \mathbf{C}_{\leq\phi\gamma}^1 \cup \mathbf{C}_{<\phi\gamma}^2 \cup \Phi_{\leq\phi\gamma} \models \phi\gamma$$

for any ground substitution  $\gamma$

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Particular cases: cover set strict empty universal



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# 1 Methodology

$\Psi \sqsubseteq_{\mathbf{C}} \Phi \triangleq \Phi$  is a C.C.S. of any  $\phi \in \Psi$  in  $\mathbf{C}$

## 1.1.1 Properties of C.C.S.

☞ the “contextually covers” relation  $\sqsubseteq$  is a **quasi-order**  
(reflexivity + transitivity)

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## 1.1.1 Properties of C.C.S.

→ the “contextually covers” relation  $\sqsubseteq$  is a **quasi-order**  
(reflexivity + transitivity)

→ **horizontal composition**

$\Phi_1 \sqsubseteq_{\mathbf{C}} \dots \sqsubseteq_{\mathbf{C}} \Phi_i \sqsubseteq_{\mathbf{C}} \Phi_{i+1} \sqsubseteq_{\mathbf{C}} \dots \Phi_n$

$\Phi_i \sqsubseteq_{\mathbf{C}} \Phi_{i+1}$  such that  $\Phi_{i+1}$  strict, then  $\Phi_{j>i}$  strict

# 1 Methodology

$\boxed{\Psi \sqsubseteq_{\mathbf{C}} \Phi} \triangleq \Phi$  is a C.C.S. of any  $\phi \in \Psi$  in  $\mathbf{C}$

## 1.1.1 Properties of C.C.S.

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☞ **horizontal composition**

$$\Phi_1 \sqsubseteq_{\mathbf{C}} \dots \sqsubseteq_{\mathbf{C}} \Phi_i \sqsubseteq_{\mathbf{C}} \Phi_{i+1} \sqsubseteq_{\mathbf{C}} \dots \Phi_n$$

$\boxed{\Phi_i \sqsubseteq_{\mathbf{C}} \Phi_{i+1} \text{ such that } \Phi_{i+1} \text{ strict, then } \Phi_{j>i} \text{ strict}}$

☞ **vertical composition**

$$\Phi = \{\phi_1, \dots, \phi_n\}$$

$$\boxed{\forall i \in [1..n], \{\phi_i\} \sqsubseteq_{\mathbf{C}} \Psi_i \implies \Phi \sqsubseteq_{\mathbf{C}} \bigcup_{j=1}^n \Psi_j}$$

## 1.2 An Abstract Inference System: **A**

☞ abstraction of reasoning techniques

☞ automated reasoning process

Inference rule:

$$\boxed{\text{NAME}} \quad (E \cup \{\phi\}, H) \vdash^A (E \cup \Phi, H') \quad [\text{Conditions}]$$

- $E, \phi, \Phi$  conjectures
- $H, H'$  premises = conjectures that do not contain a smallest counterexample. For example, when  $E \cup \{\phi\} \vdash E \cup \Phi$  and  $\Phi$  is a strict C.C.S. of  $\phi$ ,  $\phi$  becomes a premise



# 1 Methodology

## ADDPREMISE

$$(E \cup \{\phi\}, H) \vdash^A (E \cup \underbrace{\Phi_1 \cup \dots \cup \Phi_p}_{\Phi}, H \cup \{\phi\})$$

where (a)  $\{\phi\} \sqsubseteq_{(H, E \cup \Phi)}$   $\bigcup_{i=1}^p \{\psi_i\}$  and  
(b)  $\{\psi_i\} \sqsubseteq_{(H, E \cup \{\phi\} \cup (\Phi \setminus \Phi_j))}$   $\Phi_j$  strict,  $\forall j \in [1..p]$

## SIMPLIFY

$$(E \cup \{\phi\}, H) \vdash^A (E \cup \underbrace{\Phi_1 \cup \dots \cup \Phi_p}_{\Phi}, H)$$

where (a)  $\{\phi\} \sqsubseteq_{(E \cup H \cup \Phi, \emptyset)}$   $\bigcup_{i=1}^p \{\psi_i\}$  and  
(b)  $\{\psi_i\} \sqsubseteq_{(E \cup H \cup (\Phi \setminus \Phi_j), \{\phi\})}$   $\Phi_j$ ,  $\forall j \in [1..p]$

## 1.3 Reasoning Techniques

☞ perform computation, i.e. show **how** to apply  $E \cup \{\phi\} \vdash E \cup \Phi$

## 1.4 Reasoning Modules

implementation of non-compositional (elementary) C.C.S. with reasoning techniques

☞ generation function  $g$

☞ condition function  $cond$  (optional), such that

If  $g(\phi, \mathbf{C}) = \Phi$  then  $\{\phi\} \sqsubseteq_{\mathbf{C}} \Phi$  under the condition  $cond(\phi, \mathbf{C})$

## 1.5 Methodology for Building “Descente Infinie” Provers

Given a set of conjectures  $\Phi$  and an inductive consequence relation  $\models$

1. provide a Noetherian order over formulas (compatible with  $\Phi$ )
2. provide a set of reasoning techniques (compatible with  $\models$ )
3. build the reasoning modules from the reasoning techniques
4. instantiate  $\mathbf{A}$  with reasoning modules

### 2. Saturation-based Provers

## 2 Saturation-based Provers

### 2.1 Proof by Saturation Principle

**Definition 2.1** An  $I$ -proof state  $(E, H)$  is *saturated* if  $E$  is closed under  $I$ .

⇒ Saturated sets check the satisfiability of a set of formulas

**Definition 2.2** An inference system is *refutationally complete* if the empty clause  $\square$  is included in any unsatisfiable saturated set of formulas.

Application: proof by consistency

To prove  $Ax \models \phi$ :

- i. add  $\{\neg\phi\}$  to  $Ax$  and saturate
- ii. get  $\square$

### 2.2 Candidate Models

☞ no more axioms during the saturation process

Notation:  $\mathbf{A}_s = \mathbf{A}$  with universal C.C.S.

**Admissible conditions** to prove the refutational completeness:

1. a Noetherian order over formulas (here, universal clauses)
2. a candidate model for any saturated set of clauses  $E$  with no  $\square$  such that there is an  $\mathbf{A}_s$ -inference rule applicable on any clause from  $E$  containing a smallest counterexample.

**Theorem 2.1**  $\mathbf{A}_s$  is refutationally complete.

### 2.3 Analysis of Existing Systems

1. representation of the system states in the  $\mathbf{A}_s$  form  $(E, H)$
2. verification of the admissible conditions
3. definition of the reasoning modules
4. definition of the inference rules using reasoning modules
5. instantiation test of the inference rules w.r.t.  $\mathbf{A}_s$ -rules
6. proposition of “improvements”

#### Examples:

1.  $\mathcal{G}$  paramodulation-based system [Nieuwenhuis and Rubio, 2001]
2.  $RP$  resolution-based system [Bachmair and Ganzinger, 2001]

### 2.4 The $\mathcal{G}$ Paramodulation-based Inference System

#### SUPERPOSITION RIGHT:

$$E \cup \{\Gamma \Rightarrow s = t\} \vdash^{\mathcal{G}} E \cup \{\Gamma \Rightarrow s = t\} \cup \{\Gamma', \Gamma \Rightarrow s[r]_p = t\}$$

if there exists a clause  $\Gamma' \Rightarrow l = r$  from  $E$  such that:

- (a)  $s/p \equiv l, l \succ r, s \succ t$  and
- (b)  $l \succ u$  for all  $u$  occurring in  $\Gamma'$  and
- (c)  $s \succ v$  for all  $v$  occurring in  $\Gamma$

#### SUPERPOSITION LEFT:

$$E \cup \{\Gamma, s = t \Rightarrow \Delta\} \vdash^{\mathcal{G}} E \cup \{\Gamma, s = t \Rightarrow \Delta\} \cup \{\Gamma', \Gamma, s[r]_p = t \Rightarrow \Delta\}$$

if there exists a clause  $\Gamma' \Rightarrow l = r$  from  $E$  such that:

- (a)  $s/p \equiv l, l \succ r, s \succ t$  and
- (b)  $l \succ u$  for all  $u$  occurring in  $\Gamma'$  and
- (c)  $s \preceq v$  for all  $v$  occurring in  $\Gamma, \Delta$

#### EQUALITY RESOLUTION:

$$E \cup \{\Gamma, s = s \Rightarrow \Delta\} \vdash^{\mathcal{G}} E \cup \{\Gamma, s = s \Rightarrow \Delta\} \cup \{\Gamma \Rightarrow \Delta\}$$

if  $s \preceq v$  for all  $v$  occurring in  $\Gamma, \Delta$



## 2 Saturation-based Provers

### 2.4.1 The $(E, H)$ Representation: $\mathcal{G}'$

#### SUPERPOSITION RIGHT:

$$(E \cup \{\Gamma \Rightarrow s = t\}, H) \vdash^{\mathcal{G}'} (E \cup \{\Gamma \Rightarrow s = t\} \cup \{\Gamma', \Gamma \Rightarrow s[r]_p = t\}, H)$$

if there exists a clause  $\Gamma' \Rightarrow l = r$  from  $E$  such that:

- (a)  $s/p \equiv l, l \succ r, s \succ t$  and
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#### SUPERPOSITION LEFT:

$$(E \cup \{\Gamma, s = t \Rightarrow \Delta\}, H) \vdash^{\mathcal{G}'} (E \cup \{\Gamma, s = t \Rightarrow \Delta\} \cup \{\Gamma', \Gamma, s[r]_p = t \Rightarrow \Delta\}, H)$$

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#### EQUALITY RESOLUTION:

$$(E \cup \{\Gamma, s = s \Rightarrow \Delta\}, H) \vdash^{\mathcal{G}'} (E \cup \{\Gamma, s = s \Rightarrow \Delta\} \cup \{\Gamma \Rightarrow \Delta\}, H)$$

if  $s \underline{\succ} v$  for all  $v$  occurring in  $\Gamma, \Delta$

### 2.4.2 Admissible Conditions

☞ multiset extension of a **total** Noetherian order over ground terms.

**Definition 2.3** (*Candidate Model for Equational Ground Horn Clauses*) Let  $\phi$  be a clause in a set of equational ground Horn clauses  $\Phi$ . Then  $\epsilon_\phi = \{l \rightarrow r\}$  iff  $\phi$  is of the form  $\Gamma \Rightarrow l = r$  and

1.  $R_\phi^* \not\vdash \phi$ ,
2.  $l \succ r$  and  $l \succ u$ , for all  $u$  occurring in  $\Gamma$ , and
3.  $l$  is irreducible by  $R_\phi$ ,

where  $R_\phi = \bigcup_{\phi \prec_c \psi} \epsilon_\psi$ , otherwise  $\epsilon_\phi = \emptyset$ . Given a set of clauses  $\Phi$ , we can define  $R = \bigcup_{\psi \in \Phi} \epsilon_\psi$ .

### 2.4.3 Reasoning Modules

1. **SR**, based on Superposition Right reasoning technique that rewrites the maximal term of a ground Horn clause from the positive literal.

$$g_{SR}(\Gamma \Rightarrow s = t, (\{\Gamma' \Rightarrow l = r\}, \emptyset)) = \{\Gamma', \Gamma \Rightarrow s[r]_p = t\}.$$

(strict C.C.S.)

#### SUPERPOSITION RIGHT:

$$(E \cup \{\Gamma \Rightarrow s = t\}, H) \vdash^{g'} (E \cup \{\Gamma \Rightarrow s = t\} \cup \{\Gamma', \Gamma \Rightarrow s[r]_p = t\}, H)$$

if there exists a clause  $\Gamma' \Rightarrow l = r$  from  $E$  such that:

- (a)  $s/p \equiv l, l \succ r, s \succ t$  and
- (b)  $l \succ u$  for all  $u$  occurring in  $\Gamma'$  and
- (c)  $s \succ v$  for all  $v$  occurring in  $\Gamma$

## 2 Saturation-based Provers

2. **SL**, based on Superposition Left reasoning technique that rewrites the maximal term from a negative literal.  $g_{LR}((\Gamma, s = t \Rightarrow \Delta), (\{\Gamma' \Rightarrow l = r\}, \emptyset)) = \{\Gamma', \Gamma, s[r]_p = t \Rightarrow \Delta\}$ . (strict C.C.S)
3. **ER**, based on Equality Reasoning which eliminates negative identity literals.  $g_{ER}((\Gamma, s = s \Rightarrow \Delta), (\emptyset, \emptyset)) = \{\Gamma \Rightarrow \Delta\}$ . (strict C.C.S. if  $s$  is maximal)

### 2.4.4 Instantiation Result (w.r.t. Simplify)

$\mathcal{G}'$ -rule ( $\phi$ )	S. R.	S. L.	E. R.	SIMPLIFY
$g_{RM}, \text{Cxt (a)}$	$\{\phi\}, (\emptyset, \emptyset)$	$\{\phi\}, (\emptyset, \emptyset)$	$\{\phi\}, (\emptyset, \emptyset)$	$\cup_{i=1}^p \{\psi_i\}, (E \cup H \cup \Phi, \emptyset)$
$RM, \text{Cxt (b)}$	$SR, (E, \emptyset)$	$SL, (E, \emptyset)$	$ER, (\emptyset, \emptyset)$	$(E \cup H \cup (\Phi \setminus \Phi_j), \{\phi\})$

☞ also instances of ADDPREMISE

**Theorem 2.2**  $\mathcal{G}'$  is refutationally complete.

### 2.4.5 Improvements

➡ **partial** order over ground terms

➡ instances of SIMPLIFY:

- reduction on non-maximal terms (for S.R. and S.L.)
- $s = s$  is eliminated even if  $s$  is not a maximal term

➡ instances of ADDPREMISE:

- adding the processed conjecture to  $H$
- no need to preserve the processed conjecture

## 2 Saturation-based Provers

**Theorem 2.3** *The improved versions of  $\mathcal{G}$  and  $\mathcal{G}'$  are refutationally complete.*

## 2 Saturation-based Provers

### 2.5 The *RP* Resolution-based Inference System

#### 1. TAUTOLOGY DELETION:

$$\mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \mid \mathcal{O}$$

if  $C$  is a tautology

#### 2. FORWARD SUBSUMPTION:

$$\mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \mid \mathcal{O}$$

if some clause in  $\mathcal{P} \cup \mathcal{O}$  subsumes  $C$

#### 3. BACKWARD SUBSUMPTION:

$$\text{for } \mathcal{P}: \mathcal{N} \mid \mathcal{P} \cup \{C\} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \mid \mathcal{O}$$

$$\text{for } \mathcal{O}: \mathcal{N} \mid \mathcal{P} \mid \mathcal{O} \cup \{C\} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \mid \mathcal{O}$$

if some clause in  $\mathcal{N}$  properly subsumes  $C$

#### 6. CLAUSE PROCESSING:

$$\mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \cup \{C\} \mid \mathcal{O}$$

#### 4. FORWARD REDUCTION:

$$\mathcal{N} \cup \{C \vee L\} \mid \mathcal{P} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O}$$

if it exists  $D \vee L'$  in  $\mathcal{P} \cup \mathcal{O}$  s. t.  $\bar{L} = L'\sigma$  and  $D\sigma \subseteq C$

#### 5. BACKWARD REDUCTION:

$$\text{- for } \mathcal{P}: \mathcal{N} \mid \mathcal{P} \cup \{C \vee L\} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O}$$

$$\text{- for } \mathcal{O}: \mathcal{N} \mid \mathcal{P} \mid \mathcal{O} \cup \{C \vee L\} \vdash^{RP} \mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O}$$

if it is a clause  $D \vee L'$  in  $\mathcal{N}$  s. t.  $\bar{L} = L'\sigma$  and  $D\sigma \subseteq C$

#### 7. INFERENCE COMPUTATION:

$$\emptyset \mid \mathcal{P} \cup \{C\} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \mid \mathcal{O} \cup \{C\}$$

where  $\mathcal{N}$  is  $O_S^\gamma(\mathcal{O}, C)$



## 2 Saturation-based Provers

### 2.5.1 The $(E, H)$ Representation: $RP'$

☞  $\mathcal{N}$  and  $\mathcal{P} \rightsquigarrow E$        $\mathcal{O} \rightsquigarrow H$

TAUTOLOGY DELETION:

$$(E \cup \{C\}, H) \vdash^{RP'} (E, H)$$

if  $C$  is a tautology

FORWARD SUBSUMPTION:

$$(E \cup \{C\}, H) \vdash^{RP'} (E, H)$$

if some clause in  $E \cup H$  subsumes  $C$

BACKWARD SUBSUMPTION:

- for  $E$ :  $(E \cup \{C\}, H) \vdash^{RP'} (E, H)$

- for  $H$ :  $(E, H \cup \{C\}) \vdash^{RP'} (E, H)$

if some clause in  $E$  properly subsumes  $C$

FORWARD REDUCTION:

$$(E \cup \{C \vee L\}, H) \vdash^{RP'} (E \cup \{C\}, H)$$

if it exists  $D \vee L'$  in  $E \cup H$  s. t.  $\bar{L} = L'\sigma$  and  $D\sigma \subseteq C$

BACKWARD REDUCTION:

$$(E, H \cup \{C \vee L\}) \vdash^{RP'} (E \cup \{C\}, H)$$

if it is a clause  $D \vee L'$  in  $E$  s. t.  $\bar{L} = L'\sigma$  and  $D\sigma \subseteq C$

INFERENCE COMPUTATION:

$$(E \cup \{C\}, H) \vdash^{RP'} (E \cup \{\psi\}, H \cup \{C\})$$

where  $\psi$  is  $O_S^\succ(H, C)$

### 2.5.2 Admissible Conditions

- $\prec$  is total on ground clauses
- the resolution-based computation  $O_S^\succ(H, C)$  returns a new clause and operates on the clause  $C$  by using smaller clauses from  $H$  chosen according to a selection function  $S$
- $RP'$  satisfies the applicability property for counterexamples by the means of a candidate model built for  $O_S^\succ(H, C)$ .

### 2.5.3 Reasoning Modules

- ***TD***, based on Tautology Deletion, builds an empty CCS.  
 $g_{TD}(C, (\emptyset, \emptyset)) = \emptyset$ .
- ***S***, based on Subsumption, has  $g_S(C, (\mathbf{C}^1, \emptyset)) = \emptyset$  if it exists a clause in  $\mathbf{C}^1$  that subsumes  $C$ .
- ***SS***, based on Strict Subsumption, has  $g_S(C, (\emptyset, \mathbf{C}^2)) = \emptyset$  if it exists a clause in  $\mathbf{C}^2$  that properly subsumes  $C$ .
- ***SR***, based on Subsumption Resolution, builds the CCS  
 $g_{SR}(C \vee L, (\mathbf{C}^1, \emptyset)) = \{C\}$  if it exists  $D \vee L'$  in  $\mathbf{C}^1$  such that  $\bar{L} = L'\sigma$  and  $D\sigma \subseteq C$ .

## 2 Saturation-based Provers

- $O$ , based on  $O_S^\succ$ , builds a strict CCS such that  $g_O(C, (\emptyset, C^2)) = O_S^\succ(C^2, C)$ .

### 2.5.4 Instantiation Result

$RP'$ -rule	T.D.	F.S.	B.S.(FOR $E$ )
$A_S$ -rule	DELETE	DELETE	DELETE
$g_{RM}$ , Cxt (a)	$\{C\}, (\emptyset, \emptyset)$	$\{C\}, (\emptyset, \emptyset)$	$\{E \cup C\}, (\emptyset, \emptyset)$
$RM$ , Cxt (b)	$TD, (\emptyset, \emptyset)$	$S, (E \cup H, \emptyset)$	$SS, (\emptyset, E)$

$RP'$ -rule	F. R.	I.C.
$A_S$ -rule	SIMPLIFY	ADDPREMISE
$g_{RM}$ , Cxt (a)	$\{C \cup L\}, (\emptyset, \emptyset)$	$\{C\}, (\emptyset, \emptyset)$
$RM$ , Cxt (b)	$SR, (E \cup H, \emptyset)$	$O, (H, \emptyset)$

**Theorem 2.4**  $RP'$  is refutationally complete.

### 2.5.5 Improvements

1. BACKWARD SUBSUMPTION for  $E$  is eliminated since it is a particular case of FORWARD SUBSUMPTION.
2. BACKWARD SUBSUMPTION for  $H$  is an instance of ELIMINATE PREMISE, for which a premise can become a conjecture unconditionally.
3. FORWARD REDUCTION can be presented in two versions:
  - a) As instance of SIMPLIFY, as in the original form.
  - b) As instance of ADDPREMISE, if  $L$  is maximal in  $L \vee C$  and either
    - i)  $D \vee L'$  in  $H$  such that  $\bar{L} = L'\sigma$  and  $D\sigma \subseteq C$ , or ii)  $D \vee L'$  in  $E$  such that  $C \vee L \succ D\sigma \vee L'\sigma$ .
4. INFERENCE COMPUTATION may use clauses from  $E$ , smaller than  $C$ , and clauses from  $H$ , smaller or equal than  $C$ .

## 2 Saturation-based Provers

## 2 Saturation-based Provers

### 2.5.6 The Improved Version of $RP$

#### TAUTOLOGY DELETION:

$$\mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \mid \mathcal{O}$$

if  $C$  is a tautology

#### FORWARD SUBSUMPTION:

$$\mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \mid \mathcal{O}$$

if a clause in  $\mathcal{N} \cup \mathcal{P} \cup \mathcal{O}$  subsumes  $C$

#### BACKWARD SUBSUMPTION:

$$\mathcal{N} \mid \mathcal{P} \cup \{C\} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \mid \mathcal{O}$$

if a clause in  $\mathcal{N} \cup \mathcal{P} \cup \mathcal{O}$  subsumes  $C$

#### ELIMINATE PREMISE:

$$\mathcal{N} \mid \mathcal{P} \mid \mathcal{O} \cup \{C\} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \mid \mathcal{O}$$

#### BACKWARD PREMISE:

$$\mathcal{N} \mid \mathcal{P} \mid \mathcal{O} \cup \{C\} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \cup \{C\} \mid \mathcal{O}$$

#### BACKWARD CONJECTURE:

$$\mathcal{N} \mid \mathcal{P} \cup \{C\} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O}$$

#### FORWARD REDUCTION - SIMPLIFY:

$$\mathcal{N} \cup \{C \vee L\} \mid \mathcal{P} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O}$$

if  $\exists D \vee L'$  in  $\mathcal{N} \cup \mathcal{P} \cup \mathcal{O}$  s. t.  $\bar{L} = L'\sigma$  and  $D\sigma \subseteq C$

#### FORWARD REDUCTION - ADDPREMISE:

$$\mathcal{N} \cup \{C \vee L\} \mid \mathcal{P} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O} \cup \{C \vee L\}$$

if  $L$  is maximal in  $L \vee C$  and either

- i)  $D \vee L'$  in  $\mathcal{O}$  such that  $\bar{L} = L'\sigma$  and  $D\sigma \subseteq C$ , or
- ii)  $D \vee L'$  in  $\mathcal{N} \cup \mathcal{P}$  such that  $C \vee L \succ D\sigma \vee L'\sigma$ .

#### CLAUSE PROCESSING:

$$\mathcal{N} \cup \{C\} \mid \mathcal{P} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \cup \{C\} \mid \mathcal{O}$$

#### INFERENCE COMPUTATION:

$$\emptyset \mid \mathcal{P} \cup \{C\} \mid \mathcal{O} \vdash^{RP} \mathcal{N} \mid \mathcal{P} \mid \mathcal{O} \cup \{C\}$$

where  $\mathcal{N}$  is  $O_S^\succ(\mathcal{O} \prec_C, C)$  or  $O_S^\succ(\mathcal{P} \prec_C, C)$

## 2 Saturation-based Provers

### Comments:

1. FORWARD SUBSUMPTION may also use clauses from  $\mathcal{N}$  to subsume  $C$ .
2. BACKWARD SUBSUMPTION for  $\mathcal{P}$  may also use clauses from  $\mathcal{N} \cup \mathcal{P} \cup \mathcal{O}$  to subsume  $C$ . The “properly subsumption” restriction is no longer needed.
3. BACKWARD SUBSUMPTION for  $\mathcal{O}$  is replaced by the more general rule ELIMINATE PREMISE.
4. FORWARD REDUCTION comes in two versions: FORWARD REDUCTION - SIMPLIFY and FORWARD REDUCTION - ADDPREMISE. The original version corresponds to FORWARD REDUCTION - SIMPLIFY, while the restricted version FORWARD REDUCTION - ADDPREMISE transfers the processed conjecture in the “old” set of clauses, for participating in further inferences.
5. BACKWARD REDUCTION for  $\mathcal{P}$  can be simulated by applying firstly BACKWARD CONJECTURE (the inverse of CLAUSE PROCESSING) and then FORWARD REDUCTION - SIMPLIFY followed by CLAUSE PROCESSING. It becomes useless.
6. BACKWARD REDUCTION for  $\mathcal{O}$  is simulated by BACKWARD PREMISE followed by FORWARD REDUCTION - SIMPLIFY. It becomes useless, too.
7. INFERENCE COMPUTATION may use clauses from  $\mathcal{E}$ , smaller than  $C$ , and clauses from  $\mathcal{H}$ , smaller or equal than  $C$ .

**Theorem 2.5**  $RP, RP'$  and their improved versions are refutationally complete.



### 3. Conclusions and Future Work

### 3.1 Conclusions

☞ methodology for building “descente infinie” automated theorem provers

✓ clear separation between **logic** and **computation**

✓ **modular** construction w.r.t. reasoning techniques

✓ **A** and **A<sub>s</sub>** are **landmarks** for other inference systems

- define at any proof step the induction hypotheses

- the contexts used in the inference rules show the **potential** of a prover

### 3 Conclusions and Future Work

- applicability to **any** inductive consequence relation:

$\models_{ini}$

equational or conditional specifications

$$(e_1 \wedge \dots \wedge e_n \Rightarrow e'_1)$$

$\models_{obs}$

conditional specifications

$\models_{par}$

parameterized specifications

$\models_{pos/neg}$

positive/negative parameterized specifications

$$(\neg e_1 \wedge \dots \wedge e_n \Rightarrow e'_1)$$

## 3 Conclusions and Future Work

### 3.2 Future Work

- ✓ Design of less-restrictive rules

$\dots (\{\phi\}, H) \vdash (\{\phi_1, \dots, \phi_n\}, H) \vdash \dots$

## 3 Conclusions and Future Work

### 3.2 Future Work

- ✓ Design of less-restrictive rules

...  $(\{\phi\}, H) \vdash (\{\phi_1, \dots, \quad\}, H) \vdash \dots$

## 3 Conclusions and Future Work

### 3.2 Future Work

- ✓ Design of less-restrictive rules

$$\dots (\{\phi\}, H) \vdash (\{\phi_1, \dots, \quad \}, H) \vdash \dots \vdash (\{\dots \phi_n \dots\}, H)$$

## 3 Conclusions and Future Work

### 3.2 Future Work

- ✓ Design of less-restrictive rules

$\dots (\{\phi\}, H) \vdash (\{\phi_1, \dots, \quad \}, H) \vdash \dots \vdash (\{\dots \phi_n \dots\}, H)$

☞ conjecture tracking

## 3 Conclusions and Future Work

### 3.2 Future Work

- ✓ Design of less-restrictive rules

$$\dots (\{\phi\}, H) \vdash (\{\phi_1, \dots, \quad \}, H) \vdash \dots \vdash (\{\dots \phi_n \dots\}, H)$$

☞ conjecture tracking

- ✓ Adding interactivity



## 3 Conclusions and Future Work

### 3.2 Future Work

- ✓ Design of less-restrictive rules

$$\dots (\{\phi\}, H) \vdash (\{\phi_1, \dots, \quad\}, H) \vdash \dots \vdash (\{\dots \phi_n \dots\}, H)$$

☞ conjecture tracking

- ✓ Adding **interactivity**
- ✓ More reasoning techniques: **library** of reasoning modules
- ✓ Schema for the **integration** of reasoning modules

## 3 Conclusions and Future Work

### 3.2 Future Work

- ✓ Design of less-restrictive rules

$$\dots (\{\phi\}, H) \vdash (\{\phi_1, \dots, \quad\}, H) \vdash \dots \vdash (\{\dots \phi_n \dots\}, H)$$

☞ conjecture tracking

- ✓ Adding *interactivity*
- ✓ More reasoning techniques: *library* of reasoning modules
- ✓ Schema for the *integration* of reasoning modules
- ✓ **DEEP** - a “DEscente infiniE” Prover

Stay tuned !!!



# “Descente Infinie” Induction Principle and Saturation-based Theorem Provers

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